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DATE FILED: 11/5/21

UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

Hyunhuy Nam,

Plaintiff,

against-

Permanent Mission of the Republic of Korea to the United Nations, Hyun Cho; Jinho Jo; and Daeyoung Chung,

Defendants.

Case No.: 1:21-cv-06165

STIPULATED CONFIDENTIALITY AGREEMENT AND PROTECTIVE ORDER

ALISON J. NATHAN, U.S.D.J.:

WHEREAS, all the parties to this action (collectively the "Parties" and individually a "Party") request that this Court issue a protective order pursuant to Federal Rule of Civil Procedure 26(c) to protect the confidentiality of nonpublic and competitively sensitive information that they may need to disclose in connection with discovery in this action;

WHEREAS, the Parties, through counsel, agree to the following terms; and WHEREAS, this Court finds good cause exists for issuance of an appropriately tailored confidentiality order governing the pretrial phase of this action,

IT IS HEREBY ORDERED that any person subject to this Order – including without limitation the Parties to this action (including their respective corporate parents, successors, and assigns), their representatives, agents, experts and consultants, all third parties providing discovery in this action, and all other interested persons with actual or constructive notice of this Order — will adhere to the following terms, upon pain of contempt:

1. With respect to "Discovery Material" (i.e., information of any kind produced or disclosed in the course of discovery in this action) that a person has designated as "Confidential" pursuant to this Order, no person subject to this Order may disclose suchConfidential Discovery

Material to anyone else except as this Order expressly permits:

- 2. The Party or person producing or disclosing Discovery Material ("Producing Party") may designate as Confidential only the portion of such material that it reasonably and in good faith believes consists of:
 - (a) previously non-disclosed financial information (including without limitation profitability reports or estimates, percentage fees, design fees, royalty rates, minimum guarantee payments, sales reports, and sale margins);
 - (b) previously non-disclosed material relating to ownership or control of any nonpublic company;
 - (c) previously non-disclosed business plans, product development information, or marketing plans;
 - (d) any information of a personal or intimate nature regarding any individual;
 - (e) any information which may reveal the schedule, routine, or whereabouts, whether past, current, or future, of any dignitaries or diplomats of foreign sovereignty;
 - (f) any communications made to, from, between, or among any dignitaries, diplomatic agent(s), representatives, or other agents of foreign sovereignty communicated during the course of serving his or her official functions on behalf of any foreign sovereignty and any information relating thereto;
 - (g) any information concerning acquaintances, friends, family, or other personal or business contacts of any dignitary, diplomat, employee, representative, or other agents of foreign sovereignty;
 - (h) any payments received from or paid to any dignitary, diplomat, employee,

representative, or other agents of foreign sovereignty and their acquaintances, friends, family, or other personal or business contacts on behalf of any foreign sovereignty;

- (i) any information identifying tangible properties and intellectual properties owned, used, or otherwise relating to any dignitary, diplomat agent, employee,representative, or other agents of foreign sovereignty and the foreign sovereignty;
- (j) any other category of information this Court subsequently affords confidential status.
- 3. With respect to the Confidential portion of any Discovery Material otherthan deposition transcripts and exhibits, the Producing Party or its counsel may designate such portion as "Confidential" by: (a) stamping or otherwise clearly marking as "Confidential" the protected portion in a manner that will not interfere with legibility or audibility; and (b) producing for future public use another copy of said Discovery Material with the confidential information reducted.
- 4. A Producing Party or its counsel may designate deposition exhibits or portions of deposition transcripts as Confidential Discovery Material either by: (a) indicating on the record during the deposition that a question calls for Confidential information, in which case the reporter will bind the transcript of the designated testimony in a separate volume and mark it as "Confidential Information Governed by Protective Order;" or (b) notifying the reporter and all counsel of record, in writing, within 30 days after a deposition has concluded, of the specific pages and lines of the transcript that are to be designated "Confidential," in which case all counsel receiving the transcript will be responsible for marking the copies of the designated transcript in their possession or under their control as directed by the Producing Party or that person's counsel.

During the 30-day period following a deposition, all Parties will treat the entire deposition transcript as if it had been designated Confidential.

- 5. If at any time before the trial of this action a Producing Party realizes that it should have designated as Confidential some portion(s) of Discovery Material that it previously produced without limitation, the Producing Party may so designate such material by so apprising all prior recipients in writing. Thereafter, this Court and all persons subject to this Order will treat such designated portion(s) of the Discovery Material as Confidential.
- 6. Nothing contained in this Order will be construed as: (a) a waiver by a Party or person of its right to object to any discovery request; (b) a waiver of any privilege or protection; or (c) a ruling regarding the admissibility at trial of any document, testimony, or otherevidence.
- 7. Where a Producing Party has designated Discovery Material as Confidential, other persons subject to this Order may disclose such information only to the following persons:
 - (a) the Parties to this action, their insurers, and counsel to their insurers;
 - (b) counsel retained specifically for this action, including any paralegal, clerical, or other assistant that such outside counsel employs and assigns to this matter;
 - (c) outside vendors or service providers (such as copy-service providers and document-management consultants) that counsel hire and assign to this matter, provided such person has first executed a Non-Disclosure Agreement in the form annexed as an Exhibit hereto;
 - (d) any mediator or arbitrator that the Parties engage in this matter or that this Court appoints, provided such person has first executed a Non-Disclosure Agreement in the form annexed as an Exhibit hereto;
 - (e) as to any document, its author, its addressee, and any other person indicated on

- the face of the document as having received a copy;
- (f) any witness who counsel for a Party in good faith believes may be called to testify at trial or deposition in this action, provided such person has first executed a Non-Disclosure Agreement in the form annexed as an Exhibit hereto;
- (g) any person a Party retains to serve as an expert witness or otherwise provide specialized advice to counsel in connection with this action, provided such person has first executed a Non-Disclosure Agreement in the form annexed as an Exhibit hereto;
- (h) stenographers engaged to transcribe depositions the Parties conduct in this action; and
- (i) this Court, including any appellate court, its support personnel, and court reporters.
- 8. Before disclosing any Confidential Discovery Material to any person referred to in subparagraphs 7(c), 7(d), 7(f), or 7(g) above, counsel must provide a copy of this Order to such person, who must sign a Non-Disclosure Agreement in the form annexed as an Exhibit hereto stating that he or she has read this Order and agrees to be bound by its terms. Said counsel must retain each signed Non-Disclosure Agreement, hold it in escrow, and produce it to opposing counsel either before such person is permitted to testify (at deposition or trial) or at the conclusion of the case, whichever comes first.
- 9. Any person who either objects to any designation of confidentiality, or who, by contrast, requests still further limits on disclosure (such as "attorneys' eyes only," reserved for extraordinary circumstances), may at any time prior to the trial of this action serve upon the designating person and all

other parties a written notice stating with particularity the grounds of the objection or request. If agreement cannot be reached promptly, counsel for all affected persons shall request a conference with the Court to obtain a ruling.

- 10. All materials designated as "Confidential," unless designation is disputed and withdrawn by an order of the Court, shall be filed in accordance with this Court's standing order in 1:19-mc-00583 and ECF Rules & Instructions, section 6 (available at https://nysd.uscourts.gov/sites/default/files/pdf/ecf_rules/ECF%20Rules%20020121%20FINAL%20v2.p df), and in further compliance with Section 4 of the Individual Rule of Practices of Judge ALISON J. NATHAN.
- 11. All persons are hereby placed on notice that the Court is unlikely to seal or otherwise afford confidential treatment to any Discovery Material introduced in evidence at trial, even if such material was previously designated as Confidential or sealed during pretrial proceeding.
- 12. Anyparty filing documents under seal must comply with Section 4of the Individual Rule of Practices of Judge ALISON J. NATHAN, Civil Cases
- 13. The Court also retains discretion whether to afford confidential treatment to any Discovery Material designated as Confidential and submitted to the Court in connection with any motion, application, or proceeding that may result in an order and/or decision by the Court. All persons are hereby placed on notice that the Court is unlikely to seal or otherwise afford confidential treatment to any Discovery Material introduced in evidence at trial, even if such material has previously been sealed or designated as Confidential.
- 14. Any Party who objects to any designation of confidentiality may at anytime before the trial of this action serve upon counsel for the Producing Party a written notice stating with particularity the grounds of the objection. If the Parties cannot reach an agreement promptly, counsel for all affected Parties shall request a joint telephone call with the Court to objain a ruling.

- 15. Any Party who requests additional limits on disclosure (such as "attorneys' eyes only" in extraordinary circumstances), may at any time before the trial of this action serve upon counsel for the recipient Parties a written notice stating with particularity the grounds of the request. If the Parties cannot reach an agreement promptly, counsel for all affectedParties will address their dispute to this Court in accordance with paragraph IV(E) of the Individual Rule of Practices of Judge Paul G. Gardephe, Civil Cases.
- 16. Recipients of Confidential Discovery Material under this Order may use such material solely for the prosecution and defense of this action and any appeals thereto, and not for any business, commercial, or competitive purpose or in any other litigation proceeding, except for such information or document as is reasonable and necessary to effect a judgment or settlement agreement. Nothing contained in this Order, however, will affect or restrict the rights of any Party with respect to its own documents or information produced in this action.
- 17. Nothing in this Order will prevent any Party from producing any Confidential Discovery Material in its possession in response to a lawful subpoena or other compulsory processes, or if required to produce by law or by any government agency having jurisdiction, provided that such Party gives written notice to the Producing Party as soon as reasonably possible, and if permitted by the time allowed under the request, at least 10 days before any disclosure. Upon receiving such notice, the Producing Party will bear the burden tooppose compliance with the subpoena, other compulsory processes, or other legal notice if the Producing Party deems it appropriate to do so.
- 18. Each person who has access to Discovery Material designated as Confidential pursuant to this Order must take all due precautions to prevent the unauthorized orinadvertent disclosure of such material.

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19. Within 60 days of the final disposition of this action – including all appeals – all

recipients of Confidential Discovery Material must either return it – including all copies thereof –

to the Producing Party, or, upon permission of the Producing Party, destroy such material -

including all copies (both paper and electronic) thereof. In either event, by the 60-day deadline,

the recipient must certify its return or destruction by submitting a written certification to the

Producing Party that affirms that it has not retained any copies, abstracts, compilations, summaries,

or other forms of reproducing or capturing any of the Confidential Discovery Material.

Notwithstanding this provision, the attorneys that the Parties have specifically retained for this

action may retain an archival copy of all pleadings, motion papers, transcripts, expert reports, legal

memoranda, correspondence, or attorney work product, even if such materials contain Confidential

DiscoveryMaterial. Any such archival copies that contain or constitute Confidential Discovery

Material remain subject to this Order.

20. This Order will survive the termination of the litigation and will continue to be

binding upon all persons to whom Confidential Discovery Material is produced or disclosed.

21. This Court will retain jurisdiction over all persons subject to this Order to the extent

necessary to enforce any obligations arising hereunder or to impose sanctions for any contempt

thereof.

SO STIPULATED AND AGREED.

Dated: 11/2/2021 Dated: 11/2/2021

<u>/s/ Sean S. Kwak</u> _/s/ Diana Seo_

Hang & Associates, PLLC Diana Seo, Esq. 136-20 38th Avenue, Suite 10G Flushing, New York 11354

Sean S. Kwak, Esq. 460 Bergen Boulevard, Suite 305 Palisades Park, NJ 07650

Kim, Cho & Lim, LLC

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Attorneys for Plaintiff

seankwak@kcllawfirm.com
Attorneys for Defendants

SO ORDERED.

11/5/21

Hon. Alison J. Nathan, U.S.D.J.

UNITED STATES DI	STRICT CO	OURT
SOUTHERN DISTRI	CT OF NEV	W YORK

Hyunhuy Nam,

Plaintiff,

against-

Permanent Mission of the Republic of Korea to the United Nations, Hyun Cho; Jinho Jo; and Daeyoung Chung,

Defendants.

Case No.: 1:21-cv-06165

EXHIBIT TO STIPULATED CONFIDENTIALITY AGREEMENT AND PROTECTIVE ORDER

NON-DISCLOSURE AGREEMENT

I,, acknowledge that I have read and under	rstand the Protective
Order in this action governing the non-disclosure of those portions of Discover	ry Material that have
been designated as Confidential. I agree that I will not disclose such Con	nfidential Discovery
Material to anyone other than for purposes of this litigation and thatat the	e conclusion of the
litigation I will return all discovery information to the Party or attorney from	whom I received it.
By acknowledging these obligations under the Protective Order, I understand	that I am submitting
myself to the jurisdiction of the United States District Court for the Southern D	District of New York
for the purpose of any issue or dispute arising hereunder and that my willful v	riolation of any term
of the Protective Order could subject me to punishment for contempt of Court	t.
Print:	
Dated:	